SECURITIES EQUITY GROUP - Held NMS Stocks and Options Order Routing Public Report Generated on Thu Jul 11 2024 08:30:10 GMT-4 EDT

2nd Quarter, 2024

2110

April 2024

S&P 500 Stocks Summary

								Non-Marketa	ole		
		Non-Directed		Market Orders as	s %	Marketable Limit		Limit Orders a	s % of	Other Orders	15 % O
To	Total Non-Directed	Orders as % of All		of Non-Directed	Total Marketable	Orders as % of Nor	-	Non-Directed		Non-Directed	
О	Orders	Orders	Total Market Order	rs Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other O	ders Orders	
	71	100.0	0 7	71 100	0.00	0 0.	00	0	0.00	0	0.0

Venues

Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	Market Orders	Market Orde	Marketable Lim rs(%) Orders	it Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(%	Net Payment Paid/Received fo Market Orders(U	Net Payment Paid/Received for Market Orders(c SD) per hundred sha	ents Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)		Limit Orders(cents	Net Payment Paid/Received for) Other Orders(USD)	•	nts
VIRTU Americas,																		
LLC(NITE)	22	30.99	22	30.99	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	21	29.58	21	29.58	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities																		
LLC(CDRG)	16	22.54	16	22.54	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services,																		
LLC(ETMM)	11	15.49	11	15.49	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial																		
INC.(INTL)	1	1.41	1	1.41	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

April 2024

Non-S&P 500 Stocks

								Non-Market	table		
	Non-	-Directed		Market Orders a	as %	Marketable Lim	it	Limit Orders	s as % of	Other Ord	ers as % of
Tota	l Non-Directed Orde	ers as % of All		of Non-Directed	Total Marketable	Orders as % of I	Non-	Non-Directe	ed	Non-Direc	ιed
Orde	ers Orde	ers	Total Market Orde	rs Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Otl	her Orders Orders	
	56	100.0	0 5	56 10	00.00	0	0.00	0	0.00	0	0.

Venue

Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	Market Orders	Market Order	Marketable Limit s(%) Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(%)	Net Payment Paid/Received for Market Orders(US	Net Payment Paid/Received for Market Orders(cer D) per hundred share	ts Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cents	Net Payment Paid/Received for Other Orders(USD)		ts
VIRTU Americas,	40	20.11	40	20.11		0.00	•	0.00		0.00				•	•	•	•	•
LLC(NITE) Citadel Securities	18	32.14	18	32.14	U	0.00	U	0.00	0	0.00	U	0	0	U	U	U	U	U
LLC(CDRG)	14	25.00	14	25.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	12	21.43	12	21.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services,																		
LLC(ETMM)	11	19.64	11	19.64	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma																		
Securities,		. ==		. =-														_
LLC(SOHO)	1	1.79	1	1.79	0	0.00	U	0.00	U	0.00	U	U	U	U	U	U	U	U

April 2024

Option Contracts Summary

							Non-Marketable	e		
	Non-Directed		Market Orders a	s %	Marketable Lim	iit	Limit Orders as	% of	Other Orders a	s % of
Total Non-Directed	Orders as % of A	II	of Non-Directed	Total Marketable	Orders as % of I	Non-	Non-Directed		Non-Directed	
Orders	Orders	Total Market Order	s Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Venues

														Net Payment		Net Payment		
												Net Payment	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Market Orders(cen	ts Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Ord	er Flow Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD) per hundred share:	s) Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares) Other Orders(USD)	per hundred shares)

May 2024

S&P 500 Stocks Summary

							Non-iviarketable			
	Non-Directed		Market Orders as %		Marketable Limit		Limit Orders as 9	% of	Other Orders as	% of
Total Non-Directed	Orders as % of All		of Non-Directed	Total Marketable	Orders as % of No	n-	Non-Directed		Non-Directed	
Orders	Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other Orders	Orders	
51	1 100.0	0 51	100.00	0	0	.00	0	0.00)	0.00

Venues

Venue - Non- Non-Directed directed Order Flow Orders	Non-Directed Orders(%)	d Market Orders	Market Orde	Marketable Lim	it Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketa Limit Orders(Other Orders(Net Payment Paid/Received fo Market Orders(U:	Net Payment Paid/Received for Market Orders(o	ents Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Paid/Received for Non-Marketable	Limit Orders(cents	Net Payment Paid/Received for Other Orders(USD)	•	
Citadel Securities																		
LLC(CDRG)	19	37.25	19	37.25	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																		
LLC(NITE)	12	23.53	12	23.53	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services,																		
LLC(ETMM)	10	19.61	10	19.61	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	9	17.65	9	17.65	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial																		
INC.(INTL)	1	1.96	1	1.96	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

May 2024

Non-S&P 500 Stocks Summary

Sum	imary				
				Non-Marketable	
	Non-Directed	Market Orders as % Mai	arketable Limit	Limit Orders as % of	Other Orders as % of
Tota	al Non-Directed Orders as % of All	of Non-Directed Total Marketable Ord	ders as % of Non-	Non-Directed	Non-Directed
Orde	lers Orders Total Market	Orders Orders Limit Orders Dire	rected Orders Total Non-Marketable Limit Orders	Orders Total Other Orders	Orders
	722 100.00	722 100.00 0	0.00	0.00	0.00

Venue

Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orde	Marketable Limit	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(%	Net Payment Paid/Received for) Market Orders(US	Net Payment Paid/Received for Market Orders(ce D) per hundred share	nts Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares	Net Payment Paid/Received for Other Orders(USD)		ts
Citadel Securities																			
LLC(CDRG)	2	209	27.98	209	27.98	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																			
LLC(NITE)	1	185	24.77	185	24.77	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																			
Services,		100	04.00	100	01.00	0	0.00	0	0.00	0	0.00	0	٥	٥	٥	0	0	0	•
LLC(ETMM)	-	163	21.82	163	21.82	0	0.00	U	0.00	U	0.00	U	U	U	U	U	U	U	U
Jane Street Capital, LLC(JNST)		146	19.54	146	19.54	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet	-	140	15.54	140	19.54	0	0.00	U	0.00	U	0.00	U	U	U	U	O	U	U	U
BlockCross(BLKX)		25	3.35	25	3.35	0	0.00	0	0.00	0	0.00	0	0	0	0	n	0	0	0
StoneX Financial		20	0.00	20	0.00	·	0.00	Ü	0.00	· ·	0.00	Ü	•	•		•		· ·	Ü
INC.(INTL)		8	1.07	8	1.07	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities,																			
LLC(UBSS)		7	0.94	7	0.94	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma																			
Securities,																			
LLC(SOHO)		4	0.54	4	0.54	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

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Option Contracts Summary

Non-Marketable Market Orders as % Marketable Limit

Limit Orders as % of

of Non-Directed Total Marketable Corders as % of Non-Directed Orders of Non-Directed Orders of Non-Directed Orders of Non-Directed Orders of Non-Marketable Limit Orders of Non-Directed Orders of Non-Directe Total Non-Directed Orders as % of All Non-Directed Non-Directed Orders Total Market Orders Orders Orders Total Other Orders Orders Orders 0.00 0.00 0

Non-Directed

												Net Payment	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment	
											Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for	
Venue -	Non-	Non-Directed	Non-Directed		Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Market Orders(cer	nts Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents	
directe	directed Order Flow Orders Orders(%) Market Orders Market Orders(%) Orders Marketable Limit Orders(%)				Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USI	D) per hundred share	es) Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)			

Other Orders as % of

Net Payment

Net Payment

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S&P 500 Stocks Summary

Non-Marketable Non-Directed Market Orders as % Marketable Limit Limit Orders as % of Other Orders as % of Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Non-Directed Non-Directed
 Orders
 Total Market Orders
 Orders
 Limit Orders
 Directed Orders
 Total Non-Marketable Limit Orders

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 Orders Total Other Orders Orders
0 0.00 0

Venues

Venue - Non- Non-Dir directed Order Flow Orders		ed Market Order	s Marke	Marketable Li	mit Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Order	Net Payment Paid/Receiver s(%) Market Order	d for Market Ord			Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred share	Net Payment		nts
VIRTU Americas,																		_
LLC(NITE)	190	29.97	190	29.97	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities																		
LLC(CDRG)	183	28.86	183	28.86	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services,																		
LLC(ETMM)	127	20.03	127	20.03	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	116	18.30	116	18.30	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial																		
INC.(INTL)	8	1.26	8	1.26	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities,																		
LLC(UBSS)	4	0.63	4	0.63	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet																		
BlockCross(BLKX)	3	0.47	3	0.47	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma																		
Securities,																		
LLC(SOHO)	3	0.47	3	0.47	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

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Non-S&P 500 Stocks

Non-Marketable Limit Orders as % of Other Orders as % of Non-Directed Market Orders as % Marketable Limit Total Non-Directed Orders as % of All of Non-Directed Total Marketable Orders as % of Non-Directed Orders Orders Orders Orders Directed Orders Total Non-Marketable Limit Orders Non-Directed Non-Directed Orders Total Other Orders Orders 100.00 100.00 0.00 0.00 208 208 0.00 0

Venues

Venue - Non- Non-Directed	Non-Directe	d		Marketable L	imit	Non-Marketable	Non-Marketa	able		Net Payment Paid/Received fo	Net Payment Paid/Received f or Market Orders(Net Payment or Paid/Received for cents Marketable Limit	Paid/Received for Marketable Limit Orders(cents per		Paid/Received for Non-Marketable Limit Orders(cents	Net Payment Paid/Received for	Net Payment Paid/Received for Other Orders(cen	
directed Order Flow Orders	Orders(%)	Market Orders	Market Ord	ders(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%) Other Orders	Other Orders(%) Market Orders(U	ISD) per hundred sha	res) Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares) Other Orders(USD)	per hundred share	£S)
Citadel Securities																		
LLC(CDRG)	77	32.08	77	32.08	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																		
LLC(NITE)	54	22.50	54	22.50	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	40	16.67	40	16.67	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services,		45.00	00	45.00		0.00	•	0.00	•	0.00	•	•	•	•	•	•	•	
LLC(ETMM)	36	15.00	36	15.00	U	0.00	U	0.00	0	0.00	U	U	U	0	U	0	0	U
Instinet BlockCross(BLKX)	32	13.33	32	13.33	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

 StoneX Financial

 INC.(INTL)
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June 2024

Option Contracts

							Non-Marketal	ble		
	Non-Directed		Market Orders as	s %	Marketable Limit		Limit Orders a	s % of	Other Orders	ıs % of
Total Non-Di	Directed Orders as % of A	l	of Non-Directed	Total Marketable	Orders as % of Non-	•	Non-Directed		Non-Directed	
Orders	Orders	Total Market Or	ders Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Orders	Total Other 0	Orders Orders	
	0	0.00	0 (0.00	0 0.0	0	0	0.00	0	0.00

Venues																		
														Net Payment		Net Payment		
												Net Payment	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
											Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Market Orders(cent	ts Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flo	ow Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD)) per hundred shares	s) Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares)	Other Orders(USD)	per hundred shares)