SECURITIES EQUITY GROUP - Held NMS Stocks and Options Order Routing Public Report Generated on Wed Apr 10 2024 09:48:28 GMT-4 EDT

1st Quarter, 2024

January 2024

S&P 500 Stocks Summary

Total Non-Directed	Non-Directed	Orders	Market Orde		iotal Marketable	Marketable Limi Orders as % of N		Non-Marketa		Other Orders a	us≪ of
Orders	as % of All On				imit Orders	Directed Orders	Total Non-Marketable Limit Orders		Orders Total Other Orders		
73	8	100.00	738	100.00		0	0.00	0	0.00	0	0.00

Venues

Venue - Non- directed Order Flow Nor Citadel Securities	Non-Directed on-Directed Orders Orders(%)	l Market Orders	s Market Or	Marketable Lir rders(%) Orders	nit Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketabl Limit Orders(%)	e Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(US		Net Payment or Paid/Received for ents Marketable Limit res) Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred share	ts
LLC(CDRG)	240	32.52	240	32.52	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM) VIRTU Americas, LLC(NITE) Jane Street Capital, LLC(JNST)	187 164 135	25.34 22.22 18.29	187 164 135	25.34 22.22 18.29	0 0	0.00 0.00 0.00	0 0	0.00 0.00 0.00	0	0.00 0.00	0 0	0 0	0 0	0 0 0	0 0 0	0 0	0 0	0 0
Two Sigma Securities, LLC(SOHO) UBS Securities, LLC(UBSS) StoneX Financial INC.(INTL)	5 4 3	0.68 0.54 0.41	5 4 3	0.68 0.54 0.41	0 0	0.00 0.00 0.00	0 0 0	0.00 0.00 0.00	0	0.00 0.00 0.00	0 0 0	0 0 0	0 0	0 0 0	0 0 0	0 0 0	0 0	0 0 0

January 2024

Non-S&P 500 Stocks Summary

			Market Orders as %		Marketable Limit		Non-Marketabl	e		
Total Non-Directed	Non-Directed Order	5	of Non-Directed	Total Marketable	Orders as % of N	n-	Limit Orders as	% of	Other Orders as	% of
Orders	as % of All Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Non-Directed O	rders Total Other Orders	Non-Directed O	rders
766	5 100.0	0 766	100.00	C	0 1	.00	0	0.00	0	0.00

Venues

Venue - Non- directed Order Flow Non Citadel Securities	Non-Directed n-Directed Orders Orders(%)	Market Orders	s Market Ord	Marketable ers(%) Orders	: Limit Marketable Limit Orders(%)		Ion-Marketable imit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%	Net Payment Paid/Received for) Market Orders(US	Net Payment Paid/Received for Market Orders(cen D) per hundred share:		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares	Net Payment Paid/Received for) Other Orders(USD)	Net Payment Paid/Received fo Other Orders(cer per hundred sha	ents
LLC(CDRG)	274	35.13	274	35.77	0	0.00		0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	180	23.08	180	23.50	0	0.00		0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																			
Services, LLC(ETMM)	171	21.92	171	22.32	0	0.00		0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	120	15.38	120	15.67	0	0.00		0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	14	1.79	0	0.00	0	0.00		0	0.00	14 1	00.00	0	0	0	0	0	0	0	0
Two Sigma Securities,																			
LLC(SOHO) StoneX Financial	10	1.28	10	1.31	U	0.00		U	0.00	U	0.00	U	U	U	U	U	U	U	U
INC.(INTL)	7	0.90	7	0.91	0	0.00		0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	4	0.51	4	0.52	0	0.00		0	0.00	0	0.00	0	0	0	0	0	0	0	0

January 2024

Option Contracts Summary

			Market Orders a	is %	Marketable Lim	it	Non-Marketab	le		
Total Non-Directed	Non-Directed O	rders	of Non-Directed	Total Marketable	Orders as % of I	lon-	Limit Orders a:	s % of	Other Orders a	is % of
Orders	as % of All Orde	rs Total Market Order	s Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Non-Directed	Orders Total Other Orders	Non-Directed	Orders
(D	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Venues

										Net Payment		Net Payment		
								Net Payment	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
							Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non- Non-Directed	Market	table Limit	Non-Marketable	Non-Marketable			Paid/Received for	Market Orders(cent	s Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow Non-Directed Orders Orders(%) Market	et Orders Market Orders(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD) per hundred shares) Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares	/ Other Orders(USD)	per hundred shares)

February 2024

S&P 500 Stocks Summary

				Market Orders as %		Marketable Li	nit		Non-Marketab	e		
Total N	Ion-Directed	Non-Directed Orders		of Non-Directed	Total Marketable	Orders as % of	Non-		Limit Orders as	% of	Other Orders a	s % of
Orders		as % of All Orders	Total Market Orders	Orders	Limit Orders	Directed Orde	'S	Total Non-Marketable Limit Orders	Non-Directed C	Irders Total Other Orders	Non-Directed C	Irders
	444	100.00	444	100.00		0	0.00		0	0.00	0	0.00

Venues

													Net Payment		Net Payment			
										Net Payment	Net Payment Paid/Received for	Net Payment Paid/Received for	Paid/Received for Marketable Limit	Net Payment Paid/Received for	Paid/Received for	r Net Payment	Net Payment Paid/Received for	;
Venue - Non-	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketab			Paid/Received for	Market Orders(cen		Orders(cents per	Non-Marketable	Limit Orders(cents			
directed Order Flow Non-Directed O	rders Orders(%)	Market Orders	Market Orders	(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%) Other Orders	Other Orders(%) Market Orders(US	D) per hundred shares	s) Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred share	es) Other Orders(USD)) per hundred share	±5)
Citadel Securities																		
LLC(CDRG)	162	35.84	162	36.49	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																		
LLC(NITE)	121	26.77	121	27.25	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution				20.95														
Services, LLC(ETMM)	93	20.58	93	20.95	0	0.00	0	0.00	0	0.00	0	U	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	64	14.16	64	14.41	0	0.00	0	0.00	0	0.00	0	0	0		0			
	64	14.10	64	14.41	0	0.00	U	0.00	U	0.00	0	0	U	U	U	U	0	U
Instinet BlockCross(BLKX)	0	1.77	0	0.00	0	0.00	0	0.00		100.00	0	0	0		0			
StoneX Financial	0	1.//	U	0.00	0	0.00	U	0.00	0	100.00	0	0	U	U	U	U	0	U
Stonex Financial INC.(INTL)	0	0.44	0	0.45	0	0.00	0	0.00	0	0.00	0	0	0		0			
UBS Securities,	2	0.44	2	0.45	0	0.00	U	0.00	U	0.00	U	0	U	U	U	0	0	U
UBS Securities, LLC(UBSS)	2	0.44	2	0.45	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
222(0033)	2	0.44	4	0.40	0	0.00	0	0.00	v	0.00	U	0	0	0	0	0	0	0

February 2024

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed (as % of All Ord		o		Total Marketable Limit Orders	Marketable Li Orders as % o Directed Orde	Non-	Total Non-Marketable Limit Orders	Non-Marketab Limit Orders a Non-Directed		Other Orders as Non-Directed O	
1,3	00	100.00	1,117	85.92		0	0.00	1	83	14.08	0	0.00

Venues

Venue - Non- directed Order Flow Non-Directer VIRTU Americas.	Non-Directer ed Orders Orders(%)	d Market Orders	Market Orde	Marketable Limit ers(%) Orders	Marketable Limit Orders(%)	Non-Marketabl Limit Orders	e Non-Marketa Limit Orders(5		Other Orders(Net Payment Paid/Received for %) Market Orders(US	Net Payment Paid/Received for Market Orders(ce SD) per hundred share	nts Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred share	Net Payment	Net Payment Paid/Received fo Other Orders(cer per hundred shar	nts
LLC(NITE)	342	25.81	340	30.44	0	0.00	2	1.09	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities																		
LLC(CDRG)	341	25.74	339	30.35	0	0.00	2	1.09	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services, LLC(ETMM)	241	18.19	239	21.40	0	0.00	2	1.09	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	178	13.43	177	15.85	0	0.00		0.55	0	0.00	0	0	0	0			•	
Choe EDGX US	1/6	13.43	1//	13.65	0	0.00	1	0.55	0	0.00	0	0	0	0	0	0	0	U
Equities																		
Exchange(EDGX) Members	101	7.62	0	0.00	0	0.00	101	55.19	0	0.00	0	0	0	0	0	0	0	0
Exchange(MEMX)	42	3.17	0	0.00	0	0.00	42	22.95	0	0.00	0	0	0	0	0	0	0	0
NASDAO Execution																		
Services, LLC(NSDQ)	33	2.49	0	0.00	0	0.00	33	18.03	0	0.00	0	0	0	0	0	0	0	0
Instinet																		
BlockCross(BLKX)	25	1.89	0	0.00	0	0.00	0	0.00	25	100.00	0	0	0	0	0	0	0	0
Two Sigma Securities,																		
LLC(SOHO)	12	0.91	12	1.07	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial	^c	0.45	<u>_</u>	0.54	â	0.00		0.00		0.00	<u>^</u>		0	0				
INC.(INTL)	ь	0.45	6	0.54	0	0.00	U	0.00	0	0.00	U	0	0	U	0	0	0	U

February 2024

Option Contracts Summary

Venues

			Market Orders as	%	Marketable Lim	it	Non-Marketab	le		
Total Non-Directed	Non-Directed C	Irders	of Non-Directed	Total Marketable	Orders as % of	ion-	Limit Orders a:	s % of	Other Orders a	s % of
Orders	as % of All Orde	ers Total Market Orde	rs Orders	Limit Orders	Directed Order	Total Non-Marketable Limit Orders	Non-Directed	Orders Total Other Orders	Non-Directed C	Irders
	0	0.00	0 0	0.00	0	0.00	0	0.00	0	0.00

			Net Pay	ment No	et Payment	
		N	et Payment Net Payment Paid/Re	ceived for Net Payment Pa	aid/Received for	Net Payment
		Net Payment Pa	aid/Received for Paid/Received for Market	ble Limit Paid/Received for No	on-Marketable Net Payment	Paid/Received for
Venue - Non- Non-Directed Marketab	Limit Non-Marketable Non-Market	ketable Paid/Received for M	larket Orders(cents Marketable Limit Orders)	ents per Non-Marketable Li	mit Orders(cents Paid/Received for	Other Orders(cents
directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%) Orders	Marketable Limit Orders(%) Limit Orders Limit Orders	ers(%) Other Orders Other Orders(%) Market Orders(USD) pe	er hundred shares) Orders(USD) hundre	shares) Limit Orders(USD) pe	er hundred shares) Other Orders(USD)	per hundred shares)

March 2024

S&P 500 Stocks Summary

	Market Orders as %			Marketable Lim	t		Non-Marketable					
Total Non-Dire	cted	Non-Directed Orders		of Non-Directed	Total Marketable	Orders as % of N	on-		Limit Orders as 9	6 of	Other Orders as	s% of
Orders		as % of All Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Lin	nit Orders	Non-Directed Or	ders Total Other Orders	Non-Directed O	rders
	112	2 100.00	112	100.00		0	0.00	(0	0.00	0	0.00

Venues

Venue - Non- directed Order Flow Citadel Securities	Non-Directe Non-Directed Orders (%)	ed Market C	rders Market	Marketab Orders(%) Orders	le Limit Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketabl Limit Orders(%)	e Other Orders	Other Orders(Net Payment Paid/Received for %) Market Orders(U!	Net Payment Paid/Received for Market Orders(cer 5D) per hundred share	ts Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cent:	Net Payment		ts
LLC(CDRG)	39	34.82	39	34.82	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas,																		
LLC(NITE)	29	25.89	29	25.89	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital,																		
LLC(JNST)	22	19.64	22	19.64	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution																		
Services, LLC(ETMM	1) 20	17.86	20	17.86	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2	1.79	2	1.79		0.00		0.00		0.00	•	•			<u>^</u>			
LLC(UBSS)	2	1.79	2	1.79	0	0.00	U	0.00	0	0.00	0	0	0	0	0	0	0	U

March 2024

Non-S&P 500 Stocks Summary

Total Non-Directed Orders					Marketable Limit Orders as % of N Directed Orders	lon-	otal Non-Marketable Limit Orders	Non-Marketabl Limit Orders as Non-Directed O	% of	Other Orders a Non-Directed O	
59	7 100.00	597	100.00	(D	0.00		0	0.00	0	0.00
Venues											

Venue - Non- directed Order F	Non-Dire flow Non-Directed Orders Orders(9		Orders Market	Marketabl : Orders(%) Orders	e Limit Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketab Limit Orders(%		Other Orders(Net Payment Paid/Received for %) Market Orders(U!	Net Payment Paid/Received for Market Orders(or SD) per hundred sha	cents Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred share	Net Payment Paid/Received for	Net Payment Paid/Received for Other Orders(cent per hundred share	ts
Citadel Securitie																		
LLC(CDRG)	217	35.87	217	36.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	164	27.11	164	27.47	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETF		17.52	106	17.76	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capit LLC(JNST) Instinet	ital, 96	15.87	96	16.08	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX Two Sigma	() 8	1.32	0	0.00	0	0.00	0	0.00	8	100.00	0	0	0	0	0	0	0	0
Securities, LLC(SOHO)	7	1.16	7	1.17	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	5	0.83	5	0.84	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2	0.33	2	0.34	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

March 2024

Option Contracts Summary

Total Non-Directed Orders			Market Orders as % of Non-Directed Total Marketable s Orders Limit Orders		Marketable Limi Orders as % of N Directed Orders		Non-Marketab Limit Orders a Non-Directed	Other Orders as % of Non-Directed Orders		
	0 0.0	0	0 0.1	00	0	0.00	0	0.00	0	0.00
Venues										

											Net Payment		Net Payment				
											Net Payment	Net Payment	Paid/Received for	Net Payment	Paid/Received for		Net Payment
										Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment	Paid/Received for
Venue - Non-	Non-Directed			Marketable Limit		Non-Marketable	Non-Marketable			Paid/Received for	Market Orders(cen	ts Marketable Limit	Orders(cents per	Non-Marketable	Limit Orders(cents	Paid/Received for	Other Orders(cents
directed Order Flow Non-Di	rected Orders Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD	per hundred shares	s) Orders(USD)	hundred shares)	Limit Orders(USD)	per hundred shares) Other Orders(USD)	per hundred shares)